JC03 Rec'd PCT/PTO 2 0 APR 2005

FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 123560

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

| | | DESIGNATED/ELECTED OF ONCERNING A FILING UN | New U.S. National Stage of PCT/JP03/013720 | | | | | | |
|---|-------------|---|---|---|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/JP03/013720 | | | INTERNATIONAL FILING DATE October 27, 2003 | PRIORITY DATE CLAIMED October 28, 2002 | | | | | |
| TITLE OF INVENTION SYNTHETIC RESIN BOTTLE-TYPE CONTAINER | | | | | | | | | |
| APPLICANTS FOR DO/EO/US Hiroki OGUCHI, Takao IIZUKA, Koichi HARAGUCHI and Tadashi HAYAKAWA | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. 🛮 has been communicated by the International Bureau. | | | | | | | |
| | | c. \square is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. 🛛 is attached hereto. | | | | | | | |
| | | b. has been previously submitted | ted under 35 U.S.C. 154(d)(4). | | | | | | |
| | | c. The International Application was filed in English. | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | |
| | | c. \square have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Stateme | nt under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | | An assignment document for record | ding. A separate cover sheet in co | mpliance with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | \boxtimes | International Search Report. | | | | | | | |

5

JC13 Rec'd PCT/PTO 20 APR 2005

| U.S. APPLICATION NO. (if known New U.S. National Stage PCT/JP03/013720 | INTERNATIONAL APPLICATION PCT/JP03/013720 | TION NO. | ATTORNEY'S DOCKET NUMBER 123560 | | | | | | |
|--|---|--|---------------------------------|------------------------|--------------|--|--|--|--|
| 21. The following fee | s are submitted: | ······································ | | CALCULATIONS | PTO USE ONLY | | | | |
| | | | | | | | | | |
| BASIC NATIONAL FEE (3 | 7 CFR 1.492(a)): | \$300.00 | | | | | | | |
| SEARCH FEE (37 CFR 1. | | \$400.00 | | | | | | | |
| International search fee (3 | 7 CFR 1.445(a)(2)) pa | | | | | | | | |
| International search report the search fee is paid | provided to USPTO | | | | | | | | |
| All situations not provided | for above | | | | | | | | |
| EXAMINATION FEE (37 C | FR 1.492(c)(1)-(2)): | \$200.00 | | | | | | | |
| International preliminary ex IPEA and favorable as to r for all claims presented in | ovelty, inventive step | | | | | | | | |
| All situations not provided | | | | | | | | | |
| Surcharge of \$130.00 for f earliest claimed priority da | urnishing the oath or te (37 CFR 1.492(e)). | 0 months from the | \$ | | | | | | |
| TOTAL PAGES OF APPLICATION OVER 100 (- 100) | ÷ 50 | = † | x 250 = | \$ | - | | | | |
| tround up to next integer | er | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | |
| TOTAL CLAIMS | 4- 20 | = 0 | x 50.00 = | \$ | | | | | |
| INDEPENDENT CLAIMS MULTIPLE DEPENDENT | 1- 3 | | x 200.00 = + 360.00 = | \$ \$ | | | | | |
| MULTIPLE DEPENDENT | CLAIM(S)(II applicabl | \$900.00 | | | | | | | |
| Applicant claims smal reduced by ½. | | \$ | | | | | | | |
| | | \$900.00 | | | | | | | |
| Processing fee of \$130.00 the earliest claimed priority | for furnishing the Eng date (37 CFR 1.492) | \$ | | | | | | | |
| F 6 11 11 1 | | \$900.00 | | | | | | | |
| Fee for recording the enclo accompanied by an appropriate the companies of the companies of the control of the | osed assignment (37) oriate cover sheet (37) | \$ | | | | | | | |
| | | TOTAL FE | ES ENCLOSED = | \$900.00 | | | | | |
| | | | | Amount to be refunded: | \$ | | | | |
| | | | | charged: | \$ | | | | |
| a. | | | | | | | | | |
| sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
| SEND ALL CORRESPO | | | | | | | | | |
| Customer Number | • | | NAME: Jame | s A. Oliff | | | | | |
| | | | | ON NUMBER: 27,0 | 75 | | | | |
| Date <u>April 20, 2005</u> | | | NAME: Eric [|). Morehouse | | | | | |
| | 1 | ON NUMBER: 38,5 | 65 | | | | | | |
| | | | | | | | | | |